



AmFunds Management Berhad 198601005272 (154432-A)
Amlslamic Funds Management Sdn Bhd 200801029135 (830464-T)
Level 9 & 10, Bangunan AmBank Group,
55 Jalan Raja Chulan, 50200 Kuala Lumpur, Malaysia.
Tel: 03-2032 2888 Fax: 03-2026 2821

Type of Account
[ ] Personal
[ ] Joint Applicant

Main Distributor Stamp

Sub-Distributor Stamp

This application form is to accompany the Information Memorandum / Prospectus / Replacement Prospectus and its Supplementary Information Memorandum / Prospectus (if any) that has been registered with the Securities Commission. In compliance with the Capital Markets and Services Act 2007, this application form must be circulated with the Information Memorandum / Prospectus / Replacement Prospectus and its Supplementary Information Memorandum / Prospectus (if any). All fields are mandatory. Please indicate N/A if not applicable.

A. PARTICULARS OF PRINCIPAL APPLICANT - INDIVIDUAL

1. Name of Principal Applicant (as per NRIC / Passport / Others)
2. Title [ ] Mr [ ] Mrs [ ] Ms [ ] Others, please specify:
3. New NRIC No. / Passport No.
4. Old NRIC No.
5. Date of Birth
6. Residential Address
Postcode Country
7. Correspondence Address (if different from residential address)
Postcode Country
8. Telephone No. (office)
Telephone No.(house)
\*Handphone No.
\*Email Address
\*By providing your email address(es) and/or mobile number(s) to us, we have your consent to communicate and send information to you via email and/or short messages (sms). Notices delivered via email or sms to you are deemed to have been sent and received on the date such message is sent. You may inform us in writing if you do not wish to receive the documents electronically.
9. Nationality
10. Country of Residence
11. Religion
12. Race [ ] Malay [ ] Chinese [ ] Indian [ ] Others, please specify:
13. Marital Status [ ] Single [ ] Married [ ] Others, please specify:
14. Gender [ ] Male [ ] Female
15. Employment Status [ ] Employed [ ] Self-employed [ ] Unemployed
16. Occupation Code (Kindly refer to list of codes available under Occupation Code and Description on Page 7)
17. Nature of Business Code (Kindly refer to list of codes available under Nature of Business Code and Description on Page 7)
18. Name of Employer
19. Employer / Self-employment Address
20. Annual Income [ ] <= RM72,000 [ ] RM72,001 - RM240,000 [ ] RM240,001 - RM1,000,000 [ ] RM1,000,001 - RM3,000,000 [ ] > RM3,000,001
21. Assets Net Worth [ ] <= RM300,000 [ ] > RM3 mil [ ] RM300,000 - RM1 mil [ ] RM1 mil - RM3 mil

22. Source of Wealth and Net Worth  Salary  Business Income  Investment Returns  
 Rental Income  Inheritance  Savings  
 Pension Funds  
 Others, please specify: \_\_\_\_\_
23. Source of Funds for Investment  Salary  Business Income  Investment Returns  
 Rental Income  Inheritance  Savings  
 Pension Funds  
 Others, please specify: \_\_\_\_\_
24. Purpose of Investment \_\_\_\_\_  
 \_\_\_\_\_
25. Expected Investment Amount per annum **RM** \_\_\_\_\_
26. Anticipated transaction pattern (Frequency)  Daily  Monthly  Yearly  One-off  
 Others, please specify: \_\_\_\_\_
27. Hold prominent position in organisation including political party  Yes  No  
 If Yes, please specify position \_\_\_\_\_  
 \_\_\_\_\_
28. Transaction Channel  Online Transfer  Telegraphic Transfer  Cheque Deposit Machine  
 Standing Instruction (SI)  
 Note: You may select more than one (1)

**B. PARTICULARS OF JOINT APPLICANT (IF APPLICABLE)**

1. Name of Joint Holder (as per NRIC / Passport / Others) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
2. Title  Mr.  Mrs.  Ms.  
 Others, please specify: \_\_\_\_\_
3. New NRIC No. \_\_\_\_\_
4. Old NRIC No. \_\_\_\_\_
5. Date of Birth \_\_\_\_\_
6. Residential Address \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 Postcode \_\_\_\_\_ Country \_\_\_\_\_
7. Correspondence Address (if different from residential address) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 Postcode \_\_\_\_\_ Country \_\_\_\_\_
8. Telephone No. (office) \_\_\_\_\_  
 Telephone No.(house) \_\_\_\_\_  
 Handphone No. \_\_\_\_\_  
 Email Address \_\_\_\_\_
9. Nationality \_\_\_\_\_
10. Country of Residence \_\_\_\_\_
11. Religion \_\_\_\_\_
12. Race  Malay  Chinese  Indian  
 Others, please specify: \_\_\_\_\_
13. Marital Status  Single  Married  
 Others, please specify: \_\_\_\_\_
14. Gender  Male  Female
15. Employment Status  Employed  Self-employed  Unemployed

16. Occupation Code      
(Kindly refer to list of codes available under Occupation Code and Description on Page 7)

17. Nature of Business Code      
(Kindly refer to list of codes available under Nature of Business Code and Description on Page 7)

18. Name of Employer

19. Employer / Self-employment Address

20. Annual Income  ≤ RM72,000  RM240,001 - RM1,000,000  > RM3,000,001  
 RM72,001 - RM240,000  RM1,000,001 - RM3,000,000

21. Assets Net Worth  ≤ RM300,000  RM300,000 - RM1 mil  RM1 mil - RM3 mil  
 > RM3 mil

22. Source of Wealth and Net Worth  Salary  Business Income  Investment Returns  
 Rental Income  Inheritance  Savings  
 Pension Funds  
 Others, please specify:

23. Source of Funds for Investment  Salary  Business Income  Investment Returns  
 Rental Income  Inheritance  Savings  
 Pension Funds  
 Others, please specify:

24. Purpose of Investment

25. Expected Investment Amount per annum **RM**

26. Anticipated transaction pattern (Frequency)  Daily  Monthly  Yearly  One-off  
 Others, please specify:

27. Hold prominent position in organisation including political party  Yes  No  
 If Yes, please specify position

28. Transaction Channel  Online Transfer  Telegraphic Transfer  Cheque Deposit Machine  
 Standing Instruction (SI)  
Note: You may select more than one (1)

29. Relationship to Principal Applicant

Note:  
 For joint applications, the Manager shall act upon all instructions authorised by the joint holders as per the signing instruction. All correspondences and payments relating to the units will be addressed and issued to the Principal Applicant.

**C. INVESTMENT DETAILS**

Please invest for me/us in the following fund(s):

Fund Name	Bank	Mode of Payment (Cheque/Bank Draft/ Telegraphic Transfer)	Investment Amount (RM)
Total Investment			

**D. BANK ACCOUNT DETAILS FOR REDEMPTION/ INCOME DISTRIBUTION PAYMENT**

For Redemption/Income Distribution Payment:

1. Bank

2. Branch

3. Bank Account Holder Name

4. Type of Account  Current  Savings

5. Account Number

**E. FOREIGN EXCHANGE POLICY NOTICE**

Please complete the sections relevant to your transaction and (v) where applicable

**1. Part A : Borrowings (Notice 2) :**

I hereby declare and confirm that:

- I **DO NOT** have borrowing with any financial institutions in Malaysia or non-resident and in the event I have any borrowing, I shall undertake to inform AmFunds Management Berhad / AmIslamic Funds Management Sdn. Bhd. immediately
- I have borrowings and the details of our borrowing are as follows: -
  - ONLY** have Ringgit borrowing with financial institutions in Malaysia.
  - Ringgit borrowing from Non-Resident: Total borrowing ^ is RM  Limit to RM1 Million in aggregate for use in Malaysia, if exceeded please confirm:
    - BNM Approval Obtained. (Please attach BNM Approval letter)
  - My foreign currency borrowing: Total borrowing ^ is FCY  Foreign currency borrowing obtained from:
    - Licensed onshore Bank
    - Non-Resident

*Individual's Limit: RM10 Million equivalent in aggregate from licensed onshore bank or a non-resident BNM approval is required if total borrowing exceeds allowable limit.*

^Total borrowing amount computed based on an aggregate Borrowing by the Resident individual and a sole proprietor and a General Partnership owned by the Resident Individual.

**Part B : Guarantee (Notice 2) :**

I hereby declare and confirm that:

- I **DO NOT** have any guarantee or financial guarantee.
- I have **GIVEN** a financial guarantee to secure borrowing obtained by Non-Resident (borrower), where
  - the Non-Resident is a Special Purpose Vehicle.
  - I have entered a formal or informal arrangement to make repayment of the borrowing in foreign currency other than under a call-upon by the lender in the event of default.
  - None of the above applicable.

**2. Investment in foreign currency asset (Notice 3) :**

- I **DO NOT** have any domestic ringgit borrowing with any financial institutions in Malaysia and in the event I have any domestic ringgit borrowing, I undertake to inform AmFunds Management Berhad / AmIslamic Funds Management Sdn. Bhd. immediately. **OR**
- I have domestic ringgit borrowing with one or more financial institutions in Malaysia and I want to invest in foreign currency assets of RM  The investment in foreign currency assets is within the allowable threshold during the calendar year. In the event there is a change in the domestic borrowing status or investment amount, I hereby undertake to inform the AmFunds Management Berhad / AmIslamic Funds Management Sdn. Bhd. Total foreign currency assets investment for this calendar year with the financial institutions including this investment is RM  Up to RM1 Million equivalent in aggregate per calendar year (computed in aggregate based on the Resident individual, sole proprietorship and General Partnership's investment in Foreign Currency Asset) using funds from
  - i. Conversion of Ringgit into Foreign Currency;
  - ii. Trade FCA; and
  - iii. Swapping of a Ringgit-denominated financial asset in Malaysia for a financial asset in Labuan Entity or outside Malaysia.
- I have domestic ringgit borrowing with one or more financial institutions in Malaysia and our total foreign currency assets investment have exceeded the allowable thresholds. I have obtained BNM's APPROVAL on this foreign currency assets investment and I undertake to abide by all conditions stipulated by BNM. (Please furnish a copy of the approval letter to AmFunds Management Berhad / AmIslamic Funds Management Sdn. Bhd.)

**3. Payment in Foreign Currency Between Residents (Notice 4) :**

Payment between Resident Individuals

- I **DO NOT** have any payment in foreign currency between residents.
- I hereby declare and confirm that the recipient is my Immediate Family Member (husband/ wife, parents, children, or siblings) and I shall provide all documents evidencing such relationship upon request by the AmFunds Management Berhad / AmIslamic Funds Management Sdn. Bhd..
- I hereby declare and confirm that the purpose of this payment is for:
  - Education overseas
  - Employment overseas
  - Migration overseas

and I shall provide all documents evidencing such purpose upon request by the AmFunds Management Berhad / AmIslamic Funds Management Sdn. Bhd.

Details of Bank Negara Malaysia Foreign Exchange Policy and the respective document references are available for download through the link below, <https://www.bnm.gov.my/fep>

**F. ADDITIONAL INFORMATION**

Do you wish to apply for the following facility?

- a. Facsimile Instruction Service  Yes / Ya  No / Tidak

Note:

For those who tick "Yes", you agree to be bound by the terms and conditions of the facility stipulated in the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any).

**G. APPLICANT'S SIGNING INSTRUCTION AND DECLARATIONS**

Applicable to individual / joint account only

Please select one of the following instructions :

- Principal Application
- All to sign
- Either one to sign. We hereby understand and agree that either one of us can have the right to give instruction to conduct any transaction for this account and we release and indemnify the Manager, the Trustee and the Fund against any claims and demands in respect of any liability arising as a result of the Manager acting upon this signing instruction even if those instructions are not genuine.

Note:

If no selection is made, AmFunds Management Berhad and AmIslamic Funds Management Sdn. Bhd. will deem that the instruction for the account is "All to Sign".

For joint applications, the Manager shall act upon all instructions authorised by the joint holders as per the signing instruction. All correspondences and payments relating to the units will be addressed and issued to the Principal Applicant.

**H. WHOLESALE FUND DECLARATION FORM (APPLICABLE ONLY FOR WHOLESALE FUND INVESTMENT)**

I/We\* hereby declare and confirm that I/we\* am/are\* sophisticated investor(s) and has/have\* agreed to invest in below table:

No	Wholesale Fund Name
1.	
2.	
3.	

I/We\* also acknowledge that the persons who invest in a wholesale fund will not have a day-to-day control over the management of the fund's assets.

I/We\* confirm that I/we\* understand that I/we\* am/are\* investing in a collective investment scheme, the definition as defined in the Guidelines on Unlisted Capital Market Products under the Lodge and Launch Framework issued by Securities Commission Malaysia on 11 October 2018 and as may be amended from time to time.

I/We\* acknowledge that AmFunds Management Berhad/AmIslamic Funds Management Sdn Bhd accepts the investment(s) on the basis of this Declaration, into table below, a collective investment scheme under a wholesale fund structure:

No	Wholesale Fund Name
1.	
2.	
3.	

I/We\* hereby agree that I/we\* have read and understood the information memorandum and its supplemental (if any) before investing in the wholesale fund.

I/We\* hereby understand and acknowledge that the management of the wholesale fund by AmFunds Management Berhad/AmIslamic Funds Management Sdn Bhd is independent and I/we\* as an investor/group of investors will have no form of any influence on the set up and management of such wholesale fund and this is guided by any relevant laws, regulations, Guidelines and/or Guidance Note on Practices by Fund Management Companies issued by the relevant authorities in respect of wholesale funds and as may be amended from time to time.

I/We\* further confirm that this Declaration is accurate as to the matter stated herein and am/are\* prepared to furnish any documentary evidence to establish the accuracy of this Declaration. In any event, I/we\* agree to indemnify and keep indemnified AmFunds Management Berhad/AmIslamic Funds Management Sdn Bhd in respect of any cost, expense, fine, penalty, or any other loss, which it may suffer or incur in the event this Declaration is untrue or incorrect in any way.

\* Delete where necessary

Signature of Principal Applicant

Name of Principal Applicant:

Date:

Signature of Joint Applicant

Name of Joint Applicant:

Date:

Declaration Form

Notes to read before completing this Declaration and Application Form

- Please note that this Declaration form is not the only term relating to investments into a wholesale fund. Investors are advised to read and understand the Information Memorandum for additional terms including but not limited to, minimum initial and subsequent investment amount.
- Only a "sophisticated investor" may invest in a wholesale fund. A "sophisticated investor(s)" means investor(s) falling under the definition of the Guidelines on Unlisted Capital Market Products Under the Lodge and Launch Framework issued by Securities Commission Malaysia on 11 October 2018 and as may be amended from time to time. A "wholesale fund" means a unit trust scheme where the units are to be issued, offered for subscription or purchase or, for which invitations to subscribe for or purchase the units have been made, exclusively to sophisticated investors.
- For the purpose of this Declaration, collective investment scheme means any arrangement where:-
  - it is made for the purpose, or having the effect, of providing facilities for persons to participate in or receive profits or income arising from the acquisition, holdings, management or disposal of securities, futures contracts or any other property (referred to as "fund assets") or sums paid out of such profits or income;
  - the persons who participate in the arrangement do not have day-to-day control over the management of the fund's assets; and
  - the fund's assets are managed by an entity who is approved, authorized or licensed by a competent regulator to conduct fund management activities; and includes, among others, unit trust funds, real estate investment trusts, exchange-traded funds, wholesale funds and closed-end funds.

## I. INVESTOR'S DECLARATION

I/We acknowledge that neither AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd nor any other member company of the AmBank Group guarantees any particular returns for the investment.

I/We acknowledge receipt and declare that I/we have read and understood the latest contents of the relevant prospectus/information memorandum or replacement prospectus/information memorandum and its supplementary(ies) (if any) before completing and signing this Account Opening Form. I/We agree to abide by the terms and conditions in this Account Opening Form.

At my/our discretion, I/we have decided to invest in the fund(s) selected on this date. I/We have been informed and hereby fully understand the associated products risks and returns, and the fund(s) selected above may be of higher risk than my/our profiled risk-taking ability.

I/We hereby acknowledge that I/we shall keep AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd informed of any changes to my/our particulars as stated.

I/We hereby agree to be bound by the provision of the deeds, supplemental deeds, relevant prospectus/information memorandum or replacement prospectus/information memorandum and its supplementary(ies) (if any), Account Opening Form and any facility offered by AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd on becoming a Unit Holder.

I/We hereby acknowledge that AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd reserves the right to accept or reject any application in whole or in part thereof without assigning reasons, without compensation to the Applicant and the duly completed Application Form received by AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd are deemed irrevocable.

I/We hereby acknowledge that AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd reserves the right to terminate any relationship without assigning reasons in respect thereof.

I/We hereby acknowledge that AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd reserves the right to decide and make any changes to the Term and Conditions.

I/We hereby acknowledge the fee(s) and charge(s) that I/we will incur directly or indirectly by investing into the fund(s) as provided in the relevant prospectus/information memorandum or replacement prospectus/information memorandum and its supplementary(ies) (if any).

I/We agree that all fees, charges and expenses disclosed in the relevant information memorandum/prospectus/replacement prospectus and its supplementary information memorandum/supplementary prospectus (if any) are expressed exclusive of any taxes including but not limited to sales and services tax, value added tax, consumption tax, indirect tax, service tax levies, duties or any other taxes as may be imposed by the Government of Malaysia from time to time (collectively known as "Taxes"). Accordingly, to the extent that services provided are subject to any Taxes, the amount of Taxes payable on any related fees, charges and/or expenses will be payable by me/us and/or the Fund (as the case may be) in addition to the fees, charges and expenses disclosed in the Information memorandum/prospectus/replacement prospectus and its supplementary information memorandum/supplementary prospectus (if any).

### **Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)**

I/We hereby give my/our consent to AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd to report my/our information to regulatory or tax authorities in accordance with requirements of FATCA and CRS as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.

### **Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLATFPUAA)**

I/We agree that I/we shall at all times adhere to and comply with the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLATFPUAA) with respect to all transactions or matters whatsoever and however arising whether directly or indirectly with AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd, and in particular:

- a. AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd shall be entitled to keep records of all my/our transactions and to further disclose any transaction pertaining to me/us, as may be required by law or any government or regulatory authority for purposes of compliance with AMLATFPUAA;
- b. Undertake to provide AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd with all relevant information and documents, as and when requested, for purposes of identification of the customer and verification of the source of funds under the "Know-Your-Customer" principle; and
- c. I/We further confirm that all monies paid and to be paid to AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd come from lawful source and not from unlawful activities.

### **Privacy Notice & Disclosure of Information**

We have been advised to read AmFunds Management Berhad's/Amlslamic Funds Management Sdn Bhd's latest/updated Privacy Notice available at [www.aminvest.com](http://www.aminvest.com) and AmBank Group Privacy Notice which is available at AmBank Group website at [www.ambankgroup.com](http://www.ambankgroup.com) and the Bank branches. I/We acknowledged that such Privacy Notice may be revised from time to time and if there is/are any revision(s), it will be posted on AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd website and/or other means of communication deemed suitable by AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd.

I/We have read and understood the AmFunds Management Berhad's/Amlslamic Funds Management Sdn Bhd's Privacy Notice, which is available at [www.aminvest.com](http://www.aminvest.com) and AmFunds Management Berhad's/Amlslamic Funds Management Sdn Bhd's office and AmBank Group Privacy Notice which is available at AmBank Group website at [www.ambankgroup.com](http://www.ambankgroup.com) and the Bank branches and give my/our consent(s) for AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd to process my/our personal data and sensitive data for the intended usage as stated under the Privacy Notice. I/We, hereby, also confirm that this declaration will prevail over any previous declarations made by me/us.

I/We understand that AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd may disclose my/our personal information and/or any information relating to my/our AmFunds Management Berhad's/Amlslamic Funds Management Sdn Bhd's account to AMMB Holdings Berhad and its related group of companies including its direct and indirect subsidiaries for marketing and promotion of products and services. If I/We decide later not to receive marketing information from AMMB Holdings Berhad and its related group of companies including its direct and indirect subsidiaries, I/We will write in to 9th Floor, Bangunan AmBank Group, 55, Jalan Raja Chulan, 50200 Kuala Lumpur to inform AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd.

I/We irrevocably authorize and give consent to the AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd to carry out credit history/credit standing/credit worthiness checks or verification deems necessary by the AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd on me/us as the investor/s from sources recognised under the Credit Reporting Agencies Act 2010, other such sources including but not limited to from the Central Credit Reference Information System (CCRIS) and CTOS Data Systems Sdn Bhd. and/or any other credit reference agencies whether or not established or approved by Bank Negara Malaysia or any other governmental or regulatory authority/body.

### **Unit Trust Loan Financing Statement**

Investing in a Unit Trust Fund with Borrowed Money Is More Risky than Investing with Your Own Savings. You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

1. The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
2. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
3. If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.

4. Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore study the terms and conditions before you decide to take the loan. If you have doubts in respect of any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

I/We have read, understood and accepted the unit trust Loan Financing Risk Disclosure Statement and I/We have received a copy of the same (if applicable).

**Anti-bribery And Corruption**

I/We hereby acknowledge that AmBank Group and its group of companies have zero tolerance towards all forms of bribery and corruption. I/We undertake that I/we shall strictly comply with the current laws and regulations in Malaysia relating to anti-bribery or anti-corruption including but not limited to the Malaysian Anti-Corruption Commission Act 2009 including all its amendments acts (“MACCA”).

I/We also hereby acknowledge that if at any time, I/we are involved in transaction(s) or matter(s) which breach this clause and/or are being investigated for bribery or corruption, then AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd shall be entitled to terminate this transaction with immediate effect without any liability, with written notice, to me/us. When this happens, I/we shall be liable to fully indemnify AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd against, and hold AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd harmless from, any and all claims, losses, liabilities, damages, penalties, costs and expenses howsoever arising as a result of such breach.

**Foreign Exchange Policy Notices (FEP Notices)**

I/ We authorise and consent to AmFunds Management Berhad / Amlslamic Funds Management Sdn. Bhd. to disclose the information in this declaration to AmBank Group entities, Bank Negara Malaysia (“BNM”), any other governmental or regulatory authority/ body or enforcement agencies having jurisdiction over the AmFunds Management Berhad / Amlslamic Funds Management Sdn. Bhd. and to any third party, as may be necessary for the conduct of our business, at any time during and/ or after the cessation of the relationship between the AmFunds Management Berhad / Amlslamic Funds Management Sdn. Bhd. and myself/ ourselves.

I/ We undertake to provide all documentary evidence required by AmFunds Management Berhad / Amlslamic Funds Management Sdn. Bhd. and to provide BNM’s approval letter (if any) prior to executing the transaction.

I/ We hereby declare and confirm that the details provided above and in the documents submitted by me/ us are true, complete and correct. I/ We shall inform the AmFunds Management Berhad / Amlslamic Funds Management Sdn. Bhd. immediately when there is any change to the above declaration. In case any of the information is found to be false, untrue, incorrect, or misleading, whether intentionally or otherwise I am/ we are aware that I/ we may be held liable for it.

-----  
Signature of Principal Applicant  
Name of Principal Applicant:  
Date:

-----  
Signature of Joint Applicant  
Nama of Joint Applicant:  
Date:

**DISCLAIMERS:**

We recommend that the client reads and understands the contents of the relevant fund's Prospectus/Information Memorandum or Replacement Prospectus/Information Memorandum and its supplementary (ies) (if any) before investing.

Unit prices or income distribution, if any, may rise or fall. Past performance of a fund is not indicative of future performance. Please consider the fees and charges involved before investing.

**J. FOR DISTRIBUTOR / DIRECT CHANNEL USE ONLY**

Attended by:

Approved by:

Signature:

Name:

Designation:

Date:

Signature:

Name:

Designation:

Date:

**K. FOR OFFICE USE ONLY**

Input by / Date	Checked by / Date	Remarks

## OCCUPATION CODE AND DESCRIPTION

Code	Description	Code	Description	Code	Description
02	AGENT / CONSULTANT / DEALER - INSURANCE / UNIT TRUST / CAR	29	HOUSEWIFE	58	JEWEL / GEM DEALER
03	ACCOUNTANT / FINANCIAL CONTROL	30	JOURNALIST / WRITER / EDITOR / ADVERTISER / PHOTOGRAPHER	59	MONEY CHANGER
04	ARCHITECT	31	LAWYER / LEGAL ASSISTANT	60	PAWN BROKER
05	AUDITOR / AUDIT ASSISTANT	32	LIBRARIAN	61	USED CAR / TRUCK DEALER
06	ARMY	33	LABORER / GENERAL WORKER / FACTORY WORKER	62	LOGISTIC
07	BANKER	34	MECHANIC / MACHINE OPERATOR / APPRENTICE / ELECTRIC	63	RUBBER TAPPER
08	BEAUTICIAN / HAIRDRESSER / SALOON OPERATION	35	MEMBER PARLIAMENT / STATE EXCO (PEP) / DIPLOMAT	64	OFFSHORE BANK OR TRUST
09	BUSINESSMAN/SOLE PROPRIETOR	36	MONK / PRIEST / PASTOR / IMAM	65	OPERATOR
10	CASHIER / SHOP ASST / SALESMAN / PROMOTER	37	NURSE / HOSPITAL ASSISTANT	66	ADJUSTER / UNDERWRITER
11	CARPENTER / PLUMBER	38	PENSIONER / RETIREE	67	CUSTOMER SERVICE / RECEPTIONIST / PUBLIC RELATIONS
12	CHEF / COOK	39	PERSONAL ASSISTANT / SECRETARY	68	VENDOR / SUPPLIER
13	CEO / EXECUTIVE / GM / ED / MD / CHAIRMAN	40	PILOT	69	BABYSITTER / CHILD CARE
14	OFFICE WORKER / DRIVER / CLERK	41	POLICE / CUSTOM	70	ENTERTAINER / EVENT ORGANIZER
15	COMPANY SECRETARY	42	RETAILER / MERCHANT	71	FISHERMAN / SAILOR / SEAMAN
16	CONTRACTOR / CONSTRUCTION / PROPERTY DEVELOPER	43	ROYAL FAMILY	72	RESEARCH & DEVELOPMENT
17	COUNCILLOR / PROFESSOR	44	SELF EMPLOYED	73	TELECOMMUNICATION
18	DESIGNER - INTERIOR / FASHION / ARTIST	45	SOCIAL WORKER / VOLUNTARY SERVICE	74	TOURISM / HOTEL
19	DOCTOR / CHEMIST / PHYSICIAN / SCIENTIST / LABORATORY / OPTIC	46	STATION MASTER	75	UNEMPLOYED
20	DRAUGHTSMAN / PRINTER	47	STOCK BROKER / REMISIER / DEALER	76	EDUCATION - LEARNING CENTRE
21	DRIVER / SECURITY GUARD	48	STEWARD / FLIGHT ATTENDANT / STEWARDESS	77	GAMING / CASINO
22	ECONOMIST	49	STUDENT	78	PRIVATE / SOCIAL EXCORT
23	ENGINEER	50	SURVEYOR	79	IMPORT / EXPORT / TRADER
24	EXEC / MGR / ASST MGR / OFFICER	51	SYSTEM ANALYST / PROGRAMMER	81	MANUFACTURER
25	FARMER / POULTRY / PLANTER / MOULDER	52	TAILOR / SEAMSTRESS	83	TRAVEL AGENT
26	FIREMAN / POSTMAN	53	TECHNICIAN / ELECTRICIAN / WELDER	84	PURCHASER
27	GOVERNMENT SERVANT	54	TEACHER / LECTURER / INSTRUCTOR	85	SPORTS PERSON
28	HOTEL WORKERS: HOUSEKEEPER / HOSTESS	55	TOWN PLANNER	86	REAL ESTATE AGENT
		56	TRANSLATOR	87	WHOLESALE RETAILER / RESTAURANT / CONVENIENCE STORE
		57	WAITER / BARTENDER / MAID / TEA LADY		

## NATURE OF BUSINESS CODE AND DESCRIPTION

Code	Description	Code	Description	Code	Description
01	AGRICULTURE	35	PLANTATION	63	UTILITIES
02	ACCOUNTING	36	FIRE ARM BUSINESS	64	MANAGEMENT SERVICES / ADMINISTRATION
03	BROADCASTING	37	GAMBLING ESTABLISHMENT / CASINOS	65	SPORTS SERVICE PROVIDER
04	BROKER / INSURANCE	38	IMPORT / EXPORT COMPANY	66	WASTE MANAGEMT
05	CAR DEALER / MOTOR	39	JEWEL / GEM DEALER	67	MACHINE PARTS MANUFACTURER
06	CONSTRUCTION	40	MONEY CHANGER	68	HIGH RISK & NON COOPERATIVE JURISDICTION BANKS
07	CONSULTANCY	41	RESTAURANT / SUPERMARKET	69	OFFSHORE CORPORATION & BANKS LOCATED AT TAX HAVEN JURISDICTION
09	EDUCATION	42	ADVERTISING	70	HIGH NET WORTH ENTITY
10	FINANCIAL INSTITUTION	43	WATER TREATMENT	71	SPECIAL PURPOSE VEHICLES / STRUCTURING VEHICLE
11	HOSPITAL	44	GENERAL / LIFE INSURANCE	72	PERSONAL INVESTMENT COMPANIES
12	INVESTMENT HOUSE / ASSET MANAGEMENT	45	PORT AUTHORITY	73	TRUSTS / ESTATES
13	LEGAL FIRM	46	PHARMACEUTICAL / MEDICAL / HEALTHCARE	74	PROFESSIONAL ACTING ON BEHALF CLIENTS
14	LOGGING	47	MULTI - LEVEL MARKETING	75	EMBASSIES / MISSIONS / CONSULATES
15	MANUFACTURING	48	WORKSHOP	76	FOUNDATIONS / ASSOCIATIONS / SOCIETIES / CLUBS
16	MINING, QUARRY	49	TEMPLE / CHURCH / MOSQUE / RELIGIOUS	77	SCHOOL
17	OIL / GAS	50	LEISURE & HOSPITALITY	78	CHARITABLE ORGANIZATION & POLITICAL ORGANIZATION
18	PRINTING	51	NON GOVERNMENTAL ORGANIZATION (NGO)	79	HOME BUSINESSES / INTERNET BUSINESSES / START-UPS
19	POSTAGE / COURIER	52	SHOPPING MALL	80	CASH INTENSIVE BUSINESSES
20	PROPERTY DEVELOPER	53	HIGHWAY BUSINESS		
21	SHIPPING	54	SERVICE PROVIDER		
22	SOCIAL CENTER / RECREATION CLUB	55	FOUNDATION		
23	STATE AGENCY / BODIES	56	EMPLOYMENT AGENCY		
24	TELECOMMUNICATION / ICT SERVICE PROVIDER	57	MANAGEMENT PROPERTY BODY / JMB / JMC		
25	TRANSPORTATION / AUTOMOTIVE	58	INVESTMENT HOLDING COMPANY		
26	TOURISM / TRAVEL AGENCY	59	ENGINEERING		
27	TRUSTEE / CUSTODIAN	60	PROPERTY INVESTMENT		
28	UNIT TRUST	61	PROPERTY MANAGEMENT / CAR PARK MANAGEMENT		
29	WHOLESALE / RETAIL / MERCHANT / TRADING	62	MONEY SERVICES BUSINESS		
34	TECHNOLOGY				



**FOREIGN EXCHANGE NOTICES DEFINITION**

Definition	Description
Borrowing	<p>(a) any utilised or unutilised credit facility or financing facility;</p> <p>(b) any utilised or unutilised trade financing facility, including but not limited to, trade guarantee or guarantee for payment of goods;</p> <p>(c) redeemable preference share or Islamic redeemable preference share; or</p> <p>(d) Corporate Bond or Sukuk.</p> <p>Notwithstanding the above, the following are <b>excluded</b> from the definition of Borrowing -</p> <p>(i) a trade credit term extended by a supplier for any goods or services;</p> <p>(ii) a credit limit that a LOB apportions for its client to undertake a Forward Basis transaction, excluding a transaction that involves-</p> <p>(A) exchanging or swapping of Ringgit or Foreign Currency debt for another Foreign Currency debt; or</p> <p>(B) exchanging of Foreign Currency debt for a Ringgit debt;</p> <p>(iii) a Financial Guarantee or Non-Financial Guarantee;</p> <p>(iv) an operational leasing facility;</p> <p>(v) a factoring facility without recourse;</p> <p>(vi) a credit card or charge card facility obtained by an Individual from a Resident and used for payment for retail goods or services only; or</p> <p>(vii) a credit facility or financing facility obtained by a Resident Individual from a Resident to purchase one (1) residential property and one (1) vehicle.</p> <p><b>Note:</b> For clarity purposes, the transactions in paragraphs (ii)(A) and (ii)(B) shall be considered as Borrowing.</p>
Direct Investment Abroad (DIA)	<p>(a) an investment in Foreign Currency Asset Offshore by a Resident resulting in at least 10% equity ownership or control of a Non-Resident Entity outside Malaysia or a Labuan Entity;</p> <p>(b) an inter-company lending by a Resident Entity to a Non-Resident Entity within the Resident Entity's Group where the Non-Resident Entity is outside Malaysia or a Labuan Entity; or</p> <p>(c) a capital expenditure by a Resident investor in an unincorporated Entity outside Malaysia or a project outside Malaysia by an agreement with no establishment created, where the Resident investor -</p> <p>(i) contributes capital of at least 10% of the cost of the project;</p> <p>(ii) is entitled to at least 10% of profits from the unincorporated Entity or project; or</p> <p>(iii) has management control of the unincorporated Entity or project.</p>
Domestic Ringgit Borrowing (DRB)	<p>(a) Any Borrowing in Ringgit obtained by a Resident from another Resident; or</p> <p>(b) Any obligation considered or deemed as DRB under any of the FE Notices.</p> <p>Note: For purposes of determining the DRB status of a Resident Entity -</p> <p>(a) the Resident Entity is deemed to have a DRB when another Resident Entity with Parent-Subsidiary Relationship has a DRB; and</p> <p>(b) the following shall not be considered as DRB -</p> <p>(i) a Borrowing obtained from another Resident Entity with Parent-Subsidiary Relationship;</p> <p>(ii) a Borrowing obtained from its Direct Shareholder; or</p> <p>(iii) any facility including credit facility or financing facility which is used for Sundry Expenses or Employees' Expenses only.</p> <ul style="list-style-type: none"> <li>• <b>"Sundry Expenses"</b> refers to small and infrequent expenses for office supplies (e.g. stationaries), ancillary services (e.g. software and online subscription) and other minor expenses to facilitate daily business operation.</li> <li>• <b>"Employees' Expenses"</b> refers to business-related expenses which may include, but not limited to, travel (e.g. lodging and transportation), entertainment, health, insurance, takaful and other employees' expenses, excluding investment.</li> <li>• <b>"Borrowing"</b> refers to the definition of "Borrowing" above.</li> </ul>
Foreign Currency Asset Offshore (previously referred to as "Investment Abroad")	<p>(a) a financial asset in Malaysia swapped for a financial asset in a Labuan Entity or outside Malaysia;</p> <p>(b) Foreign Currency Borrowing given to a Non-Resident;</p> <p>(c) working capital arising from the set up of any business arrangement outside Malaysia (including a joint venture project where no Entity is created or established);</p> <p>(d) deposit in a Foreign Currency Account maintained with a Labuan Entity or outside Malaysia excluding reasonable amount of deposit for education, employment or migration outside Malaysia; or</p> <p>(e) Foreign Currency-denominated—</p> <p>(i) asset (tangible or intangible) offered by a Non-Resident or any person whose residency cannot be determined;</p> <p>(ii) asset (tangible or intangible) in or maintained with a Labuan Entity, or outside Malaysia;</p> <p>(iii) Financial Instrument or Islamic Financial Instrument (excluding Exchange Rate Derivatives) without Firm Commitment offered on a Specified Exchange under the CMSA outside Malaysia undertaken by a Resident through a Resident futures broker; or</p> <p>(iv) Financial Instrument or Islamic Financial Instrument (excluding Exchange Rate Derivatives) without Firm Commitment issued or offered by a Non-Resident.</p>
Foreign Currency Asset Onshore	<p>(a) Foreign Currency-denominated securities or Islamic securities offered in Malaysia by a Resident as approved in writing by the Bank;</p> <p>(b) Foreign Currency-denominated Financial Instrument or Islamic Financial Instrument offered in Malaysia by a Resident as approved in writing by the Bank excluding a derivative transaction entered with Firm Commitment;</p> <p>(c) Deposit in Investment Foreign Currency Account with a LOB or an approved Financial Institution as specified in Notice 3; or</p> <p>(d) Any instrument offered by a LOB with Foreign Currency delivery at maturity*.</p> <p>* Such as dual-currency investment.</p>

Definition / Definisi	Description / Penerangan
Licensed Onshore Bank (LOB)	(a) A licensed bank or a licensed investment bank under the FSA; and (b) A licensed Islamic bank under the IFSA.
Non-Resident	(a) Any person other than a resident; (b) An overseas branch, a subsidiary, regional office, sales office or representative office of a resident company; (c) Embassies, Consulates, High Commissions, supranational or international organizations; or (d) A Malaysian citizen who has obtained permanent resident status of a country or territory outside Malaysia and is residing outside Malaysia.  For the avoidance of doubt, this includes Malaysian Embassies, Consulates and High Commissions.
Resident	(a) A citizen of Malaysia, <b>excluding</b> a citizen who has obtained permanent resident status in a country or a territory outside Malaysia and is residing outside Malaysia; (b) A non-citizen of Malaysia who has obtained permanent resident status in Malaysia and is ordinarily residing in Malaysia; (c) A body corporate incorporated or established, or registered with or approved by any authority, in Malaysia; (d) An unincorporated body registered with or approved by any authority in Malaysia; or The Government or any State Government
Special Purpose Vehicle (SPV)	An Entity set up solely for the purpose of Borrowing and is not an operating business unit.

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