



**FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) AND COMMON REPORTING STANDARD (CRS)**

**SELF-CERTIFICATION BY ENTITY**

*(The information in this section is collected in order to comply with FATCA and CRS requirements which require AmBank Group to identify and report United States (U.S.) Persons and Tax Residents in CRS Participating Jurisdictions)*

<b>PART A: General Information</b>	
Company Name	
Country of Incorporation/Registration	
Global Intermediary Identification Number (GIIN)*	
EIN (for U.S. Branches only)	

\*GIIN is required for Direct Reporting NFFE, Sponsored Direct Reporting NFFE, Foreign Financial Institution (FFI) and a trustee of a trust

<b>PART B: Identifying U.S. Reportable Accounts</b>	
<i>(To be completed by all types of entities – please tick (“✓”) where applicable)</i>	
a) A Specified U.S. Person <sup>1</sup>	<input type="checkbox"/>
b) A Passive Non-Financial Foreign Entity (NFFE) with one or more Controlling Persons who are Substantial U.S. Owners <sup>2</sup>	<input type="checkbox"/>
c) A Non-Participating Foreign Financial Institution (NPFFI) <sup>3</sup>	<input type="checkbox"/>

<b>PART C: Identifying CRS Reportable Accounts</b>		
<i>(To be completed by all types of entities – please tick (“✓”) where applicable)</i>		
	Yes	No
a) The entity has tax residency <sup>4</sup> outside Malaysia <i>(If yes, please complete Part D)</i>	<input type="checkbox"/>	<input type="checkbox"/>
b) The entity is a Passive Non-Financial Entity (NFE) with one or more Controlling Persons <sup>5</sup> <i>(If yes, Please complete Part E and F)</i>	<input type="checkbox"/>	<input type="checkbox"/>
<i>If answered “No” for both questions, please proceed directly to Part G.</i>		

<sup>1</sup> Definition:

- A partnership, corporation, company or association created or organized in the U.S. or under the laws of the U.S.,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7 of the Internal Revenue Code).

<sup>2</sup> Definition:

- Owns, directly or indirectly, more than 10% (by vote or value) of the stock of any foreign corporation;
- Owns, directly or indirectly, more than 10% of the profits or capital interests in a foreign partnership;
- Is treated as an owner of any portion of a foreign trust under sections 671 through 679; or
- Holds, directly or indirectly, more than 10% beneficial interest in a trust.

<sup>3</sup> A Foreign Financial Institution that does not enter into an agreement with the U.S. IRS.

<sup>4</sup> For more information please refer to <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>.

<sup>5</sup> “Controlling Persons” are the natural person(s) who exercise Control (i.e. more than 25% shareholding) over an entity.

**PART D: CRS Entity Classification**

(To be completed by all types of entities – please tick (“√”) where applicable)

- i) Active NFE - Public Listed Company or its Related Entity <sup>6</sup>
- ii) Active NFE - Governmental Entity, Central Bank or International Organization
- iii) Active NFE other than the above (Please complete Part E)
- iv) Passive NFE (Please complete Part E only if the entity has no Controlling Person)  
(Please complete Part E and F if the entity has one or more Controlling Person)
- v) Financial Institution - a Custodian Institution, a Depository Institution, an Investment Entity (other than (vi) below), or a Specified Insurance Company
- vi) An Investment Entity that is not a Participating Jurisdiction Financial Institution and is managed by another Financial Institution   
(Please complete Part E only if the entity has no Controlling Person)  
(Please complete Part E and F if the entity has one or more Controlling Person)

**PART E: Tax Residency for Entity (Please complete if PART D (iii), (iv) or (vi) is selected)**

Country/ Jurisdiction of Tax Residence(s)	Taxpayer Identification Number (TIN) <small>(Not required if the entity is a tax resident of Malaysia)</small>	If no TIN is available please tick the appropriate reason
		<input type="checkbox"/> The country/jurisdiction does not issue TINs to its residents <input type="checkbox"/> Application for TIN or equivalent number in progress <input type="checkbox"/> Unable to obtain a TIN or equivalent number or other reason (Please provide explanation) _____
		<input type="checkbox"/> The country/jurisdiction does not issue TINs to its residents <input type="checkbox"/> Application for TIN or equivalent number in progress <input type="checkbox"/> Unable to obtain a TIN or equivalent number or other reason (Please provide explanation) _____

If the Entity is tax resident in more than two countries/jurisdictions, please use a separate sheet

<sup>6</sup> An entity is a "related entity" of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an entity.

PART F: Information of Controlling Person(s)			
Controlling Person 1			CP Type
Name			
Residential Address		NRIC No./ Passport No.	
Date of birth		Place of birth (Country)	
Information on tax residency			
Country of Tax Residence(s)	TIN (Not required if the individual is a tax resident of Malaysia)	Reason if TIN is not available	
1)		<input type="checkbox"/> The country does not issue TINs <input type="checkbox"/> I am earning below the taxable income bracket to have a TIN <input type="checkbox"/> I am a housewife, househusband, minor or retiree <input type="checkbox"/> I am a student with appropriate visa <input type="checkbox"/> I hold a diplomatic post in a consulate or embassy in Malaysia <input type="checkbox"/> Others:	
2)		<input type="checkbox"/> The country does not issue TINs <input type="checkbox"/> I am earning below the taxable income bracket to have a TIN <input type="checkbox"/> I am a housewife, househusband, minor or retiree <input type="checkbox"/> I am a student with appropriate visa <input type="checkbox"/> I hold a diplomatic post in a consulate or embassy in Malaysia <input type="checkbox"/> Others:	
3)		<input type="checkbox"/> The country does not issue TINs <input type="checkbox"/> I am earning below the taxable income bracket to have a TIN <input type="checkbox"/> I am a housewife, househusband, minor or retiree <input type="checkbox"/> I am a student with appropriate visa <input type="checkbox"/> I hold a diplomatic post in a consulate or embassy in Malaysia <input type="checkbox"/> Others:	

Note: Type of Controlling Person (CP)

CP01 - Controlling Person of a legal person – control by ownership

CP02 - Controlling Person of a legal person – control by other means

CP03 - Controlling Person of a legal person – senior managing official

CP04 - Controlling Person of a trust - settlor

CP05 - Controlling Person of a trust – trustee

CP06 - Controlling Person of a trust – protector

CP07 - Controlling Person of a trust – beneficiary

CP08 - Controlling Person of a trust – other

CP09 - Controlling Person of a legal arrangement (non-trust) – settlor-equivalent

CP10 - Controlling Person of a legal arrangement (non-trust) – trustee-equivalent

CP11 - Controlling Person of a legal arrangement (non-trust) – protector-equivalent

CP12 - Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent

CP13 - Controlling Person of a legal arrangement (non-trust) – other-equivalent

PART F: Information of Controlling Person(s) (Continued)			
Controlling Person 2			CP Type
Name			
Residential Address		NRIC No./ Passport No.	
Date of birth		Place of birth (Country)	
Information on tax residency			
Country of Tax Residence(s)	TIN (Not required if the individual is a tax resident of Malaysia)	Reason if TIN is not available	
1)		<input type="checkbox"/> The country does not issue TINs <input type="checkbox"/> I am earning below the taxable income bracket to have a TIN <input type="checkbox"/> I am a housewife, househusband, minor or retiree <input type="checkbox"/> I am a student with appropriate visa <input type="checkbox"/> I hold a diplomatic post in a consulate or embassy in Malaysia <input type="checkbox"/> Others:	
2)		<input type="checkbox"/> The country does not issue TINs <input type="checkbox"/> I am earning below the taxable income bracket to have a TIN <input type="checkbox"/> I am a housewife, househusband, minor or retiree <input type="checkbox"/> I am a student with appropriate visa <input type="checkbox"/> I hold a diplomatic post in a consulate or embassy in Malaysia <input type="checkbox"/> Others:	
3)		<input type="checkbox"/> The country does not issue TINs <input type="checkbox"/> I am earning below the taxable income bracket to have a TIN <input type="checkbox"/> I am a housewife, househusband, minor or retiree <input type="checkbox"/> I am a student with appropriate visa <input type="checkbox"/> I hold a diplomatic post in a consulate or embassy in Malaysia <input type="checkbox"/> Others:	

*If the Entity has more than 2 CPs, please use a separate sheet*

**PART G: Declaration**

I/We represent and declare the information provided above is true, accurate and complete and the submitted documents are genuine and duly executed.

I/We hereby consent for AMMB Holdings Berhad (223035-V), including AmBank (M) Berhad or any of its related companies and its branches (collectively "AmBank Group") to report the entity's information to regulatory or tax authorities in accordance with requirements of FATCA and CRS as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.

I/We undertake to notify AmBank Group in writing within 30 calendar days if there is a change in any information which I/we have provided to AmBank Group, status or in the event the entity becomes U.S. person or a tax resident in a jurisdiction outside Malaysia. I/We hereby consent that AmBank Group may terminate the entity's account(s) and/or facilities granted to the entity, in the event the entity becomes U.S. person or a tax resident in a jurisdiction outside Malaysia.

I/We hereby acknowledge and agree that AmBank Group may classify the entity as a recalcitrant account holder or NPFFI and/or suspend, recall or terminate the entity's account(s) and/or facilities granted to the entity, in the event I/we fail to provide accurate and complete information and/or documentation as AmBank Group may require.

Authorised Signature 1	:	<input type="text"/>	Authorised Signature 2	:	<input type="text"/>
Name	:	<input type="text"/>	Name	:	<input type="text"/>
Designation	:	<input type="text"/>	Designation	:	<input type="text"/>
Date	:	<input type="text"/>	Date	:	<input type="text"/>