



**AmInvest**

**FIRST SUPPLEMENTARY  
INFORMATION MEMORANDUM  
DATED 28 FEBRUARY 2018  
IN RESPECT OF  
GLOBAL DIVIDEND**

The Manager  
**AmFunds Management Berhad**  
(Company number: 154432-A)

The Trustee  
**Deutsche Trustees Malaysia Berhad**  
(Company number: 763590-H)

THIS FIRST SUPPLEMENTARY INFORMATION MEMORANDUM DATED 28 FEBRUARY 2018 IS TO BE READ IN CONJUNCTION WITH THE INFORMATION MEMORANDUM FOR GLOBAL DIVIDEND DATED 11 APRIL 2016 AND MUST BE CIRCULATED WITH ALL COPIES OF THE INFORMATION MEMORANDUM FOR GLOBAL DIVIDEND.

**SOPHISTICATED INVESTORS ARE ADVISED TO READ AND UNDERSTAND THE CONTENTS OF THE INFORMATION MEMORANDUM DATED 11 APRIL 2016 AND THIS FIRST SUPPLEMENTARY INFORMATION MEMORANDUM. IF IN DOUBT, PLEASE CONSULT A PROFESSIONAL ADVISER.**

## **RESPONSIBILITY STATEMENTS**

This First Supplementary Information Memorandum dated 28 February 2018 in relation to the Information Memorandum for Global Dividend dated 11 April 2016 (hereinafter known as "First Supplementary Information Memorandum") has been reviewed and approved by the Directors of AmFunds Management Berhad and they collectively and individually accept full responsibility for the accuracy of the information. Having made all reasonable enquiries, they confirm to the best of their knowledge and belief, that there are no false or misleading statements, or omission of other facts which would make any statement in this First Supplementary Information Memorandum false or misleading.

## **STATEMENTS OF DISCLAIMER**

A copy of this First Supplementary Information Memorandum has been lodged with the Securities Commission Malaysia. The lodgement of this First Supplementary Information Memorandum should not be taken to indicate that Securities Commission Malaysia recommends the Fund or assumes responsibility for the correctness of any statement made, opinion expressed or report contained in this First Supplementary Information Memorandum.

The Securities Commission Malaysia is not liable for any non-disclosure on the part of AmFunds Management Berhad, and takes no responsibility for the contents in this First Supplementary Information Memorandum. The Securities Commission Malaysia makes no representation on the accuracy or completeness of this First Supplementary Information Memorandum, and expressly disclaims any liability whatsoever arising from, or in reliance upon, the whole or any part of its contents.

**SOPHISTICATED INVESTORS SHOULD RELY ON THEIR OWN EVALUATION TO ASSESS THE MERITS AND RISKS OF THE INVESTMENT. IF SOPHISTICATED INVESTORS ARE UNABLE TO MAKE THEIR OWN EVALUATION, THEY ARE ADVISED TO CONSULT PROFESSIONAL ADVISERS.**

## **ADDITIONAL STATEMENTS**

Sophisticated Investors should note that they may seek recourse under the Capital Markets and Services Act 2007 for breaches of securities laws including any statement in this First Supplementary Information Memorandum that is false, misleading, or from which there is a material omission; or for any misleading or deceptive act in relation to this First Supplementary Information Memorandum or the conduct of any other person in relation to the Fund.

An investment in the Fund is not a deposit in any bank. Neither returns nor repayments of capital are guaranteed by any member of the AmBank Group or its group of companies.

An investment in the Fund carries with it a degree of risk. The value of units and the income from it, if any, may go down as well as up, and Sophisticated Investors may not get back the amount invested. Sophisticated Investors should consider the risk factors set out under the heading risk factors in the Information Memorandum for Global Dividend dated 11 April 2016.

Statements made in this First Supplementary Information Memorandum are based on the law and practice currently in force in Malaysia and are subject to changes in such law and practices.

Any reference to a time or day in this First Supplementary Information Memorandum shall be a reference to that time or day in Malaysia, unless otherwise stated.

No person has been authorised to issue any advertisement or to give any information, or to make any representations in connection with the offering, placing, subscription, sale, switching or redemption of units in the Fund other than those contained in this First Supplementary Information Memorandum or any supplemental therein and, if issued, given or made, such advertisement, information or representations must not be relied upon by a Sophisticated Investor. Any purchase made by any person on the basis of statements or representations not contained in or inconsistent with the information and representations in this First Supplementary Information Memorandum or any supplemental therein will be solely at the risk of the Sophisticated Investor. Sophisticated Investor may wish to consult their independent professional adviser about the suitability of the Fund for their investment needs.

This First Supplementary Information Memorandum does not constitute an offer or solicitation to anyone in any jurisdiction in which such offer or solicitation is not authorised or to any person to whom it is unlawful to make such offer or solicitation.

Sophisticated Investors in the Fund agree that personal details contained in the application form and data relating to them may be stored, modified and used in any other way by the Fund or the Manager or the Manager's associated companies within the AmBank Group and its group of companies for the purposes of administering and developing the business relationship with the Sophisticated Investor.

The Manager has the right to reject any application by a US Person. However, if you are investing through our appointed distributor who operates under a nominee system of ownership, kindly consult the respective distributor accordingly.

## **PERSONAL DATA**

As part of our day to day business, we collect your personal information when you apply to open an account with us, subscribe to any of our products or services or communicate with us. In return, we may use this information to provide you with our products or services, maintain our records or send you relevant information. We may use your personal information for one or more of the following purposes, whether in Malaysia or otherwise:

- a. Assess your eligibility or suitability for our products which you had applied for and to verify your identity or financial standing through credit reference checks;
- b. To notify you of more and up to-date information such as improvements and new features to the existing products and services, development of new products, services and promotions which may be of interest to you;
- c. Manage and maintain your account(s) through regular updates, consolidation and improving the accuracy of our records. In this manner we can respond to your enquiries, complaints and to generally resolve disputes quickly so that we can improve our business and your relationship with us;
- d. Conduct research for analytical purposes, data mining and analyse your transactions / use of products and services to better understand your current financial / investment position and future needs. We will also produce data, reports and statistics from time to time, however such information will be aggregated so that your identity will remain confidential;
- e. Comply with the requirements of any law and regulations binding on us such as conducting anti-money laundering checks, crime detection / prevention, prosecution, protection and security;
- f. enforcement of our rights to recover any debt owing to us including transferring or assigning our rights, interests and obligations under any of your agreement with us;
- g. Perform shared services within AmBank Group such as audit, compliance, legal, human resource, finance and risk management;
- h. Outsourcing of business and back-room operations within AmBank Group and/or other service providers; and
- i. Any other purpose(s) that is required or permitted by any law, regulations, standards, guidelines and/or relevant regulatory authorities including with the trustee of the Fund.

Sophisticated Investors are advised to read our latest or updated Privacy Notice (notice provided as required under the Personal Data Protection Act 2010) available on our website at [www.aminvest.com](http://www.aminvest.com). Our Privacy Notice may be revised from time to time and if there is or are any revision(s), it will be posted on our website and/or other means of communication deemed suitable by us. However, any revision(s) will be in compliance with the Personal Data Protection Act 2010.

**THE REMAINING PAGE IS INTENTIONALLY LEFT BLANK**

Unless otherwise provided in this First Supplementary Information Memorandum, all the capitalized terms used herein shall have the same meanings ascribed to them in the Information Memorandum for Global Dividend dated 11 April 2016 (“Information Memorandum”).

## EXPLANATORY NOTE

This First Supplementary Information Memorandum has been issued to inform Sophisticated Investors of the following:

- revision to certain definitions in section 1. Definitions to enhance clarity;
- deletion in relation to section 2. Corporate Directory;
- the launch of the EUR-Hedged Class and inclusion of EUR Class as one of the new classes that may be offered by the Fund and amendments to section 3. Key Data of the Fund;
- rectification to typographical error under sub-section 3.1 Fund Information in relation to Income Distribution;
- amendments to sub-section 3.4 Other Information in relation to the issuance of the supplemental deed dated 21 February 2018 for the establishment of EUR Class and EUR-Hedged Class;
- revision to the information in sub-section 8.2 Making an Investment;
- rectification to typographical error under sub-section 8.5 Income Distribution Policy;
- the insertion of disclosure of sub-section 8.8 Cross Trades;
- revision of disclosure under section 10. Related Party Transaction or Conflict of Interest;
- revision of contact details under sub-section 11.5 How Do You Make a Complaint?;
- revision of section 13. Managing the Fund’s Investment in relation to the change of the Manager’s board of directors, investment committee and key personnel; and
- revision in relation to section 14. The Trustee.

## A. DEFINITIONS

### Page 1-4 of the Information Memorandum – 1. DEFINITIONS

1. The definition of “AHB, AmBank (M) Berhad, AmInvestment Bank and AmInvestment Group Berhad” under this section is hereby deleted. All reference made to AHB is changed to AmBank Group.
2. The definition of “AmBank Group” under this section is hereby deleted and replaced with the following:-

#### AmBank Group

Refers to AMMB Holdings Berhad and all its direct and indirect subsidiaries, including, but not limited to: AmBank (M) Berhad, AmBank Islamic Berhad, AmInvestment Bank Berhad, AmInvestment Group Berhad, AmFunds Management Berhad, AmIslamic Funds Management Sdn Bhd, AmFutures Sdn Bhd, AmCard Services Berhad and AmGeneral Insurance Berhad.

3. The definition of “Class(es)” under this section is hereby deleted and replaced with the following:-

#### Class(es)

Any number of class(es) of units representing similar interests in the assets of the Fund and Class means any one class of units.

Classes that may be offered by the Fund are as follows:

- AUD-Hedged Class
- EUR-Hedged Class
- AUD Class
- EUR Class

- RM-Hedged Class
- SGD-Hedged Class
- USD Class
- RM Class
- SGD Class

For the life of this Information Memorandum, only these six (6) Classes (each a “Class” and collectively “Classes”) will be offered for subscriptions:

- AUD-Hedged Class – a class issued by the Fund which is denominated in AUD and will be hedged against the base currency of the Fund;
- EUR-Hedged Class - a class issued by the Fund which is denominated in EUR and will be hedged against the base currency of the Fund;
- RM Class – a class issued by the Fund which is denominated in RM of the Fund;
- RM-Hedged Class – a class issued by the Fund which is denominated in RM and will be hedged against the base currency of the Fund;
- SGD-Hedged Class – a class issued by the Fund which is denominated in SGD and will be hedged against the base currency of the Fund; and
- USD Class - a class issued by the Fund which is denominated in USD.

4. The definition of “Deed” under this section is hereby deleted and replaced with the following:-

**Deed**

The deed dated 28 September 2015 and supplemental deeds (if any) entered into between the Manager and the Trustee in respect of the Fund

5. The definition of EUR is hereby added as follows:

**EUR**

Euro

6. The definition of “Sophisticated Investors” under this section is hereby deleted and replaced with the following:-

**Sophisticated Investors**

means any person who comes within any of the categories of investors set out in Part 1, Schedule 6 and 7 of the Act

7. The definition of “US (United States) Person” under this section is hereby deleted and replaced with the following:-

**US (United States) Person**

A US citizen or resident individual, a partnership or corporation organized in the US or under the laws of the US or any State thereof, a trust if: (i) a court within the US would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more US persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US as defined in the Malaysia-US IGA Guidance Notes on Compliance Requirements for Malaysia-US Intergovernmental Agreement on Foreign Account Tax Compliance Act (FATCA) issued by Inland Revenue Board Of Malaysia dated 11 September 2015, including any amendments, guidelines and other administrative actions made thereunder.

## B. CORPORATE DIRECTORY

### Page 5 – 6 of the Information Memorandum – 2. Corporate Directory

The information relating to the Manager's board of directors, investment committee and secretary, Manager's Delegate, Taxation Advisor and Auditor are hereby deleted.

## C. KEY DATA OF THE FUND

### Page 8 of the Information Memorandum – 3.1 Fund Information

1. The information relating to the initial offer period is hereby deleted and replaced with the following:-

<b>Initial Offer Period</b>	<u>AUD-Hedged-Class, RM Class, RM-Hedged Class, SGD-Hedged Class and USD Class</u> A period of up to twenty one (21) days commencing from 11 April 2016 to 1 May 2016.
	<u>EUR-Hedged Class</u> A period of up to twenty one (21) days commencing from 28 February 2018 to 20 March 2018.

2. The information relating to the initial offer price is hereby deleted and replaced with the following:-

<b>Initial Offer Price</b>	<b>AUD-Hedged-Class:</b> AUD 1.0000 per unit <b>RM Class:</b> RM 1.0000 per unit <b>RM-Hedged Class:</b> RM 1.0000 per unit <b>SGD-Hedged Class:</b> SGD 1.0000 per unit <b>USD Class:</b> USD 1.0000 per unit <b>EUR-Hedged Class:</b> EUR 1.0000 per unit
----------------------------	--

3. The typographical error under Income Distribution in relation to Other Classes except for RM & RM-Hedged Classes is hereby amended to read as follows:-

<b>Income Distribution</b>	<b>Other Classes except for RM &amp; RM-Hedged Classes</b> Subject to availability of income, distribution will be paid at least quarterly and will be reinvested into the respective Class.
----------------------------	---

### Page 11 of the Information Memorandum – 3.3 Transaction Details

1. The information relating to the minimum initial investment of the Fund is hereby deleted and replaced with the following:-

<b>Minimum Initial Investment</b>	<b>AUD-Hedged Class:</b> AUD 5,000; <b>RM Class:</b> RM 5,000; <b>RM-Hedged Class:</b> RM 5,000; <b>SGD-Hedged Class:</b> SGD 5,000; <b>USD Class:</b> USD 5,000; <b>EUR-Hedged Class:</b> EUR 5,000; or such amount as the Manager may from time to time decide.
-----------------------------------	---

2. The information relating to the minimum additional investment of the Fund is hereby deleted and replaced with the following:-

<b>Minimum Additional Investment</b>	<p><b>AUD-Hedged Class:</b> AUD 5,000;  <b>RM Class:</b> RM 5,000;  <b>RM-Hedged Class:</b> RM 5,000;  <b>SGD-Hedged Class:</b> SGD 5,000;  <b>USD Class:</b> USD 5,000;  <b>EUR-Hedged Class:</b> EUR 5,000;                      or such amount as the Manager may from time to time decide.</p>
--------------------------------------	--

**Page 12 of the Information Memorandum – 3.4 Other Information**

The information relating to the supplemental deed is hereby deleted and replaced with the following:-

<b>Supplemental Deed</b>	The supplemental deed relating to the Fund is dated 21 February 2018.
--------------------------	---

**D. TRANSACTION INFORMATION**

**Page 50-52 of the Information Memorandum – 8.2 Making an Investment**

The information in the table is hereby deleted and replaced with the following:-

<b>Step 1 Eligibility</b>	<p><b>Sophisticated Investors, with 18 years of age and above for individual</b></p> <p>Note:</p> <p>(1) The Manager has the right to reject any application by a US Person. However, if you are investing through our appointed distributor who operates under a nominee system of ownership, kindly consult the respective distributor accordingly.</p> <p>(2) If a Unit Holder is a US Person or subsequently becomes a US Person and such fact comes to the attention of the Manager:-</p> <p>a) The Manager is entitled to act in accordance with FATCA, relevant laws, rules, regulations, notes and circulars issued by the relevant authorities from time to time including but not limited to withholding such amount of the income derived from the units held by such US Person (if any); and</p> <p>b) The Manager shall by a notice in writing to that US Person require him/her to either redeem all the units of the Fund or transfer all the units of the Fund to a non-US person within thirty (30) days from the date of the notice. Upon expiry of thirty (30) days from the date of such notice, the Manager reserves the right to compulsorily redeem all the units held by such US Person.</p>
<b>Step 2 Forms to be completed / Documents to be provided</b>	<p><b>FOR INITIAL INVESTMENT</b></p> <p>(a) A full set of account opening form;                      (b) Proof of payment;                      (c) Suitability assessment form;                      (d) Wholesale fund declaration form;                      (e) FATCA documentation:</p> <ul style="list-style-type: none"> <li>• Self-certification by individual / entity;</li> <li>• W-8BEN / W-8BEN-E Form (if applicable); and</li> </ul>

- W-9 Form (if applicable); and
- (f) Personal Data Protection Act consent form (if applicable); and
- (g) Additional documents requested by the Manager (if applicable).

**Individual investor**

For a single applicant, photocopy of National Registration Identity Card (NRIC) for Malaysian or passport for foreigner.

For joint applicant, photocopy of NRIC for Malaysian or passport for foreigner of first named joint applicant and the subsequent named joint applicant.

**Non-individual or corporate investors**

- (a) Copy of National Registration Identity Card (NRIC) / passport of all authorised signatory(ies);
- (b) Copy of National Registration Identity Card (NRIC) / Passport of directors / shareholders / partners;
- (c) A certified true copy of the Constitution, business registration documents, certificate of registration or its equivalent;
- (d) A certified true copy of Form 24 (if applicable) and 49 (if applicable) or notice of change or its equivalent;
- (e) An original/ extract copy of a board resolution approving investments in the Fund or its equivalent;
- (f) List of authorised personnel to effect any instructions pertaining to the Fund if the list of authorized personnel is not mentioned in the board resolution or its equivalent;
- (g) A copy of the latest audited financial statement of accounts;
- (h) Additional documents requested by the Manager (if applicable); and
- (i) Any other approvals required from relevant authorities.

**FOR ADDITIONAL INVESTMENT**

- (a) Transaction form or letter of instruction (for non-individual or corporate investors only); and
- (b) Proof of payment.

**Step 3  
Manner of payment and  
delivery**

Payments can be made by depositing payment into our account using either cheque, bank draft or telegraphic transfer payable to:

**“AmFunds Management Berhad - Trust A/C”**

**For individual investor:** You are advised to write your name, new identification card number (NRIC)/ passport number and contact number at the back of the cheque or bank draft.

**For non-individual or corporate investors:** You are advised to write your company name, company no. and contact number at the back of the cheque or bank draft.

You can submit the application with complete documentation (including the proof of payment) and payment to us or submit the same to any of our listed distributors. If we do not receive complete documentation with the payment we reserve the right to reject the application. If you deposit payment into our account and do not notify or provide us with the complete documentation, we shall reject your application and hold such amount until claimed. Sales of Units will be processed upon receipt of complete documentation and proof of payment.



	<p>We reserve the right to vary the manner of payment from time to time, and it shall be communicated to you.</p> <p><i>Note: Where payment is by cheque, the cheque must be issued by the Sophisticated Investor. In the case of bank draft, a copy of the application for the bank draft as approved by the relevant bank must be submitted with the bank draft. <b>Any payment from third party other than the Sophisticated Investor will be rejected.</b></i></p>
<b>Minimum initial investment</b>	<p><b>AUD-Hedged Class:</b> AUD 5,000;  <b>RM Class:</b> RM 5,000;  <b>RM-Hedged Class:</b> RM 5,000;  <b>SGD-Hedged Class:</b> SGD 5,000;  <b>USD Class:</b> USD 5,000;  <b>EUR-Hedged Class:</b> EUR 5,000;  or such amount as the Manager may from time to time decide.</p>
<b>Minimum additional investment</b>	<p><b>AUD-Hedged Class:</b> AUD 5,000;  <b>RM Class:</b> RM 5,000;  <b>RM-Hedged Class:</b> RM 5,000;  <b>SGD-Hedged Class:</b> SGD 5,000;  <b>USD Class:</b> USD 5,000;  <b>EUR-Hedged Class:</b> EUR 5,000;  or such amount as the Manager may from time to time decide.</p>
<b>Submission of application</b>	Monday to Friday (except public holiday)
<b>Processing an application/ cut off time</b>	<p>If an application with complete documentation (including the proof of payment) is accepted by the Manager or our appointed distributors <b>before</b> 4.00 p.m. on a Business Day, it will be processed at the closing NAV per unit calculated at the end of the same Business Day.</p> <p>If an application with complete documentation (including the proof of payment) is accepted by the Manager or our appointed distributors <b>after</b> 4.00 p.m. on a Business Day, it will be processed at the closing NAV per unit calculated at the next Business Day.</p> <p><i>Note:</i>  <i>The Manager reserves the right to reject any application that is unclear, incomplete and/or not accompanied by the required documents or proof of payment. Incomplete applications will not be processed until all the necessary information has been received.</i></p> <p><i>You should note that different distributors may have different cut off times in respect of receiving application request. You are advised to contact the relevant distributors to obtain further information and should check with the relevant distributors on their cut off time in respect of receiving application request.</i></p> <p>For more details on NAV calculation, please refer to page 47.</p>

**Page 55 of the Information Memorandum – 8.5 Income Distribution Policy**

The typographical error under Income Distribution in relation to Other Classes except for RM & RM-Hedged Classes is hereby amended to read as follows:-

**“Other Classes except for RM & RM-Hedged Classes**

Subject to availability of income, distribution will be paid at least quarterly and will be reinvested into the respective Class.”

**Page 55 of the Information Memorandum**

The cross trades disclosure is hereby added immediately after the disclosure on “8.7 Distribution Channels”.

**“8.8 CROSS TRADES**

The Manager may conduct cross trades between funds and private mandates it currently manages provided that all criteria imposed by the regulators are met.

Notwithstanding, cross trades between the personal account of an employee of the Manager and the Fund’s account are strictly prohibited. The execution of cross trade will be reported to the investment committee and disclosed in the Fund’s report accordingly.”

**E. RELATED PARTY TRANSACTION OR CONFLICT OF INTEREST**

**Page 61 of the Information Memorandum – 10. Related Party Transaction or Conflict of Interest**

The disclosure under “Related Party Transaction or Conflict of Interest” is hereby deleted and replaced with the followings:-

“All transactions with related parties are to be executed on terms which are best available to the Fund and which are not less favourable to the Fund than on arm’s length transaction between independent parties. The Fund may have dealings with parties related to the Manager. The related parties defined are AmIslamic Funds Management Sdn Bhd (“AIFM”), AmInvestment Bank Berhad, AmInvestment Group Berhad, AmBank (M) Berhad and AmBank Islamic Berhad.

Trading in securities by staff is allowed, provided that the policies and procedures in respect of the personal account dealing are observed and adhered to. The directors, investment committee members and staff shall disclose their portfolio holdings and dealing transactions as required under Personal Account Dealing Policy. Further, the abovementioned shall also make disclosure of their holding of directorship and interest in any company.

The directors of AFM may have direct or indirect interest through their directorship in AIFM.

Following are details of the directors:

- Raja Maimunah binti Raja Abdul Aziz is the non-independent director of AIFM.
- Sum Leng Kuang is the independent director of AIFM.
- Tai Terk Lin is the independent director of AIFM.
- Goh Wee Peng is the non-independent director of AIFM.”

**F. ADDITIONAL INFORMATION**

**Page 64 of the Information Memorandum – 11.5 How Do You Make a Complaint?**

1. The contact detail of SC is hereby amended to read as follows:-

“3. You can also direct your complaint to Securities Commission Malaysia (SC) even if you have initiated a dispute resolution process with SIDREC. To make a complaint, please contact the SC’s Consumer & Investor Office:

- (a) via phone to the Aduan Hotline at : 03-6204 8999
- (b) via fax to : 03-6204 8991
- (c) via e-mail to : aduan@seccom.com.my
- (d) via online complaint form available at [www.sc.com.my](http://www.sc.com.my)
- (e) via letter to : Consumer & Investor Office  
Securities Commission Malaysia  
3 Persiaran Bukit Kiara  
Bukit Kiara  
50490 Kuala Lumpur”

2. The contact detail of FiMM is hereby added immediately after SC’s contact detail.

“4. You can also direct your complaint to FiMM’s Complaints Bureau:

- (a) via phone to : 03-2092 3800
- (b) via fax to : 03-2093 2700
- (c) via e-mail to : complaints@fimm.com.my
- (d) via online complaint form available at : [www.fimm.com.my](http://www.fimm.com.my)
- (e) via letter to : Legal, Secretarial & Regulatory Affairs  
Federation of Investment Managers Malaysia  
19-06-1, 6th Floor, Wisma Tune  
No. 19, Lorong Dungun, Damansara Heights  
50490 Kuala Lumpur”

## G. MANAGING THE FUND’S INVESTMENT

### Page 66-70 of the Information Memorandum – 13. Managing the Fund’s Investment

The disclosure under “Managing the Fund’s Investment” is hereby deleted and replaced with the followings:-

#### 13.1 Corporate Information of the Manager

##### AmFunds Management Berhad

AFM was incorporated in Malaysia on 9 July 1986 and is wholly owned by AmInvestment Bank Berhad with effective from 21 July 2016. As at LPD, AFM has more than 30 years of experience in the unit trust industry.

Pursuant to AMMB Holdings Berhad’s (the holding company of AmInvestment Management Berhad (“AIM”) and AFM) initiative to streamline the business operations of its asset management business under AIM and the unit trust business under AFM, the businesses of both AIM and AFM are consolidated to operate under a single operating structure, i.e., under AFM.

With effect from 1 December 2014, AFM is the holder of a Capital Markets and Services Licence for the regulated activities of fund management, dealing in securities restricted to unit trusts and dealing in private retirement scheme issued under the Act.

AFM is responsible to manage, invest, realize, reinvest or howsoever deal with the Fund in accordance with the investment objective and guidelines, including investment limits and restrictions of the Fund.

#### 13.2 Duties and Responsibilities of the Manager

The Manager is responsible for setting the investment policies and objective for the Fund. The Manager is also responsible for the promotion and administration of the Fund which include but not limited to issuing units, preparing and issuing Information Memorandum.

### 13.3 The Board of Directors

The Board of Directors are as follows:-

- i. **Raja Maimunah binti Raja Abdul Aziz** (Non-Independent);
- ii. **Tai Terk Lin** (Independent);
- iii. **Mustafa bin Mohd Nor** (Independent);
- iv. **Sum Leng Kuang** (Independent); and
- v. **Goh Wee Peng** (Non-Independent).

### 13.4 Investment Committee

The Committee meets at least five (5) times a year to review the Fund's investment objectives and guidelines, and to ensure that the Fund is invested appropriately.

### 13.5 Designated Person for Fund Management Function

#### Gan Kong Yik

Gan Kong Yik is a Senior Fund Manager with the Equities team of Funds Management Division of AMMB Holdings Berhad ("AmInvest") with over 17 years relevant experience in this field. His key responsibilities will include managing pension funds and various mutual funds. In AmInvest, he performs financial analysis, earnings forecast and valuation of regional listed banking sector. Prior to AmInvest, Kong Yik was the Chief Investment Officer with KAF Investment Funds Berhad ("KIF") since January 2016, where he led the investment team with 3 fund managers that covered the Malaysian equity market. Prior to that, he was a fund manager with Pheim Asset Management Sdn Bhd since July 2012, managing 12 portfolios ranging from pension funds, unit trusts to private mandates.

### 13.6 Material Litigation

As at the 31 January 2018, the Manager is not engaged in any material litigation and arbitration, including those pending or threatened, and any facts likely to give rise to any proceedings which might materially affect the business or financial position of the Manager and of its delegates.

Note: Please refer to our website ([www.aminvest.com](http://www.aminvest.com)) for further information in relation to AmFunds Management Berhad which may be updated from time to time.

## H. THE TRUSTEE

### Page 71-73 of the Information Memorandum – 14. The Trustee

The disclosure under "The Trustee" is hereby amended to be read as follows:-

#### 14.1 About Deutsche Trustees Malaysia Berhad

Deutsche Trustees Malaysia Berhad ("DTMB") (Company No. 763590-H) was incorporated in Malaysia on 22 February 2007 and commenced business in May 2007. The Company is registered as a trust company under the Trust Companies Act 1949, with its business address at Level 20, Menara IMC, 8 Jalan Sultan Ismail, 50250 Kuala Lumpur.

DTMB is a member of Deutsche Bank Group ("Deutsche Bank"), a global investment bank with a substantial private client franchise. With more than 100,000 employees in more than 70 countries, Deutsche Bank offers financial services throughout the world.

## **14.2 Experience in trustee business**

DTMB is part of Deutsche Bank's Securities Services, which provides trust, agency, depository, custody and related services on a range of securities and financial structures. As at 31 January 2018, DTMB is the trustee for 188 collective investment schemes including unit trust funds, wholesale funds, exchange-traded funds and private retirement schemes.

DTMB's trustee services are supported by Deutsche Bank (Malaysia) Berhad ("DBMB"), a subsidiary of Deutsche Bank, financially and for various functions, including but not limited to financial control and internal audit.

## **14.3 Duties and responsibilities of the Trustee**

DTMB's main functions are to act as trustee and custodian of the assets of the Fund and to safeguard the interests of Unit holders of the Fund. In performing these functions, the Trustee has to exercise due care and vigilance and is required to act in accordance with the relevant provisions of the Deed, the Capital Markets & Services Act 2007 and all relevant laws.

## **14.4 Trustee's delegate**

The Trustee has appointed DBMB as the custodian of the assets of the Fund. DBMB is a wholly-owned subsidiary of Deutsche Bank AG. DBMB offers its clients access to a growing domestic custody network that covers over 30 markets globally and a unique combination of local expertise backed by the resources of a global bank. In its capacity as the appointed custodian, DBMB's roles encompass safekeeping of assets of the Fund; trade settlement management; corporate actions notification and processing; securities holding and cash flow reporting; and income collection and processing.

All investments of the Fund are registered in the name of the Trustee for the Fund, or where the custodial function is delegated, in the name of the custodian to the order of the Trustee for the Fund. As custodian, DBMB shall act only in accordance with instructions from the Trustee.

**- END -**

**THIS IS A FIRST SUPPLEMENTARY INFORMATION MEMORANDUM FOR GLOBAL DIVIDEND WHICH HAS TO BE READ IN CONJUNCTION WITH THE INFORMATION MEMORANDUM FOR GLOBAL DIVIDEND DATED 11 APRIL 2016.**

**THIS PAGE IS INTENTIONALLY LEFT BLANK**

**THIS IS A FIRST SUPPLEMENTARY INFORMATION MEMORANDUM FOR GLOBAL DIVIDEND WHICH HAS TO BE READ IN CONJUNCTION WITH THE INFORMATION MEMORANDUM FOR GLOBAL DIVIDEND DATED 11 APRIL 2016.**

**THIS PAGE IS INTENTIONALLY LEFT BLANK**

**THIS IS A FIRST SUPPLEMENTARY INFORMATION MEMORANDUM FOR GLOBAL DIVIDEND WHICH HAS TO BE READ IN CONJUNCTION WITH THE INFORMATION MEMORANDUM FOR GLOBAL DIVIDEND DATED 11 APRIL 2016.**

**THIS PAGE IS INTENTIONALLY LEFT BLANK**